# CLOUD COVER ASSESSMENT: VNIR-SWIR

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#### FIELD OF THE INVENTION

This invention relates generally to image processing and, more specifically, to detection of cloud cover in high-altitude and/or orbital overhead imaging data.

## **BACKGROUND OF THE INVENTION**

Overhead imaging studies of a surface below may be hampered by the presence of cloud formations. Understandably, thick clouds between an observation point and the area of interest under observation can conceal objects or features in the area of interest. Potentially worse in some cases is the presence of thinner cloud formations that do not entirely occlude the surface, but may reduce the contrast of surface features and change the derived surface spectral reflectance signature with resulting impact on information products such as spectral vegetation indices. Presence of thin cloud formations, such as cirrus clouds, can skew the analysis of such surface features by causing researchers to confuse presence of cloud features for features or changes in the surface region of study. For example, FIGURE 1A shows a representative image 100 of a surface area under study. Merely looking at the image, it may be difficult to determine which aspects of the image are surface features 110 and which aspects are cloud features 120.

Because the presence of cloud formations can interfere with the accuracy of overhead imaging studies, methodologies have been developed to detect the presence of cloud formations so that accuracy of surface studies will not be undermined by undetected cloud

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patterns. One approach is to use "clear-sky" spectral or reflectance maps of the areas of interest to detect the presence of clouds. By comparing the clear-sky maps with current imaging data, large-area spectral or reflectance changes may signal the presence of cloud cover. This approach involves successfully collecting, verified clear-sky imaging data of the area of interest. The clear-sky maps typically are created using thermal infra-red measurements to determine the presence of cloud formations. Most cloud formations, including high altitude cirrus clouds made up of ice crystals, present a distinct, differentiable thermal signature. If thermal data indicates the presence of cirrus or other clouds in an area of study, it will be understood which portions of the image data are affected by the presence of clouds. Thus, analysis of the area of interest will not be distorted by the presence of undetected cloud formations.

FIGURE 1B shows a "cloud mask" 150 derived using conventional techniques to show the cloud features 120 in the original image 100 of FIGURE 1A. Absent the cloud mask 150, it can be appreciated that it might have been easy to confuse edges of cloud patterns 120 with surface features 110.

Unfortunately, as is readily appreciated, collection of thermal-infra red data requires equipment capable of gathering thermal-infrared data. In the realm of orbital satellites, integrating such equipment into the satellite increases cost. Additional telemetry involved in making use of such data also is resource-intensive and costly.

Even where such clear-sky data are available, continual accurate analytical comparison of archival clear-sky data with currently-captured imaging data is needed to ensure that the captured data represents suitably accurate, cloud-free images. Determination of whether the imaging data is suitably cloud-free is a significant concern. If it is not accurately determined whether captured images are suitably cloud-free, it may be necessary to arrange for the areas of interest to be re-imaged. Analysts who desire to use images from an image archive need to be assured that the image data is sufficiently cloud-free to be worthy of acquisition and use in their research. In addition, before quantitative analysis tools are applied to analyze the imaging data, the imaging data must be determined to be suitably cloud-free to ensure that the resulting quantitative analyses will be correct.

Thus, there is an unmet need in the art for a method for determining presence of clouds in aerial imaging data not involving use of special thermal infra-red sensing equipment or the data collected by such equipment.

### **SUMMARY OF THE INVENTION**

Embodiments of the present invention can be used to determine the presence of clouds without involving thermal infrared detecting equipment. Embodiments of the present



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invention use spectral and spatial tests applied to pixel-level spectral measurements to determine the presence of cloud formations. The tests are computationally simple and, thus, do not impose an unreasonable operational computing workload. A sequence of such tests may be successively applied to the pixel-level spectral measurements to classify the pixel as indicating presence or absence of a cloud.

The present invention comprises methods, a computer-readable medium storing instructions, and a system for determining whether a data point of an image indicates a presence of a cloud using data including visible, near-infrared (NIR), and short wavelength infrared (SWIR) data. In one embodiment, a first comparison of a cirrus-band reflectance of a data point with a threshold cirrus-band reflectance value is made, classifying the data point as a cloud point if the cirrus-band reflectance of the data point exceeds the threshold cirrusband reflectance value. When the comparing of the cirrus-band reflectance of the data point with the threshold cirrus-band reflectance value does not sufficiently classify the data point as a cloud point, a further analysis of the data point is performed. The further analysis includes performing a second comparison of an additional cloud indicator with an additional cloud indicator threshold, the additional cloud indicator being derived from at least one of the visible, near-infrared, and/or short wavelength infrared data. The data point is classified as one of a cloud point or a non-cloud-point when the second comparison of the additional cloud indicator with the additional cloud indicator threshold allows the data point to be classified as one of a cloud point or a non-cloud point.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The preferred and alternative embodiments of the present invention are described in detail below with reference to the following drawings.

FIGURE 1A is a conventional aerial image of an exemplary region of interest in accordance with the prior art;

FIGURE 1B is a conventional cloud mask derived from conventional techniques to indicate the presence of clouds in the image of the exemplary region of interest in accordance with the prior art;

FIGURE 2 is a graph plotting NDSI values versus D values and illustrating points where clouds are present;

FIGURE 3 is a flowchart of a routine according to an embodiment of the present invention for determining presence of cloud formations;

FIGURE 4 is a flowchart of a routine according to another embodiment of the present invention for determining presence of cloud formations; and



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FIGURE 5 is a block diagram of a system according to an embodiment of the present invention.

#### **DETAILED DESCRIPTION OF THE INVENTION**

By way of overview, the present invention comprises methods, a computer-readable medium storing instructions, and a system for determining whether a data point of an image indicates a presence of a cloud using data including visible, near-infrared, and short wavelength infrared data. In one embodiment, a first comparison of a cirrus-band reflectance of a data point with a threshold cirrus-band reflectance value is made, classifying the data point as a cloud point if the cirrus-band reflectance of the data point exceeds the threshold cirrus-band reflectance value. When the comparing of the cirrus-band reflectance of the data point with the threshold cirrus-band reflectance value does not sufficiently classify the data point as a cloud point, a further analysis of the data point is performed. The further analysis includes performing a second comparison of an additional cloud indicator with an additional cloud indicator threshold, the additional cloud indicator being derived from at least one of the visible, near-infrared, and/or short wavelength infrared data. The data point is classified as one of a cloud point or a non-cloud-point when the second comparison of the additional cloud indicator with the additional cloud indicator threshold allows the data point to be classified as one of a cloud point or a non-cloud point.

Studying images, empirically it can be determined for each of these data points whether the data point signifies a cloud point or a non-cloud point. It will be appreciated that, in accordance with embodiments of the present invention, a number of quantities can be calculated for each data point using data extractable from visible, near-infrared, and shortwavelength infrared data. By studying these calculated quantities, threshold values are determinable by which the calculated quantities suitably are used to automatically determine whether a data point represents a cloud point or a non-cloud point. It will also be appreciated that, although embodiments of the present invention may analyze data to determine a presence of both visible clouds and sub-visible cloud layers, a presently preferred embodiment of the present invention is tailored to classifying data points based on whether the data points indicate the presence of visible clouds.

FIGURE 2 shows an exemplary graph 200 of such calculated quantities. Examining such a graph or other data representation in conjunction with associated cloud masks and RGB image, it can be determined what data points should be classified as cloud points and which should be classified as non-cloud points. More specifically, the graph shows normalized difference snow index, NDSI, plotted on a vertical axis 210 against values of a D



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variable on the horizontal axis 220. In one embodiment, NDSI is determined by equation (1):

$$NDSI = (\rho_{Green} - \rho_{SWIR1})/(\rho_{Green} + \rho_{SWIR1})$$
 (1)

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The reflectance values,  $\rho_{Green}$  and  $\rho_{SWIR1}$ , represent reflectance in the selected wavelength range, such as the green wavelengths, the short-wave infrared wavelength, respectively. The D variable, in turn, is determined from a normalized difference vegetation index, NDVI, respectively determined from equations (2) and (3):

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$$D = \left| NDVI \right|^{0.6} / \left( \rho_{\text{Re}d} \right)^2 \tag{2}$$

$$NDVI = (\rho_{NIR} - \rho_{Red})/(\rho_{NIR} + \rho_{Red})$$
 (3)

The reflectance values,  $\rho_{Red}$  and  $\rho_{NIR}$ , represent reflectance in the selected wavelength range, such as the red wavelengths, the near-infrared wavelengths, respectively.

The graph 200 shows values of NDSI versus D for data points that have been empirically identified by manual, visual inspection of an area of interest as representing bodies of water 230, ground 250, and clouds 260. Thus, because the data used in deriving NDSI, D, and NDVI is derivable from visible/near-infrared and short-wavelength infrared data, data points representing cloud points and non-cloud points can be identified without separate thermal infrared data.

A comparable analysis is achievable using different formulations of D. For example, in analyzing data collected by the Multiangle Imaging SpectroRadiometer (MISR) sensor used by NASA, D is calculated by raising NDVI to different exponential values depending on a type of ground cover expected to be present in the surface being imaged. Although the MISR D use is more complex because of its landcover-type-dependent NDVI exponent and large, statistically derived, D-threshold database, MISR D values also can be used with embodiments of the present invention to achieve satisfactory results.

Embodiments of the present invention can employ a number of such quantities to classify data points as cloud points or non-cloud points. Selection, ordering, calculation, and comparison of such quantities can be made in order to balance computational burdens and desired classification precision. For example, in two exemplary embodiments described below, a first comparison involves reflectance in the cirrus-band wavelengths,  $\rho_{CI}$ , with a threshold value which provides a ready, reliable first step in classifying data points as either



cloud points or non-cloud points. In contrast with NDSI or D,  $\rho_{Cl}$  can be compared to a threshold value without additional computation, thus making a comparison of  $\rho_{Cl}$  as a first step can reduce computational burdens. It will also be appreciated that the steps can be ordered to evaluate potentially more reliable classifiers first, or the steps can be ordered to provide a logical AND or OR construct to provide for reliable classification of the data points.

Depending upon the computational resources available, it will be appreciated that analysis of data points can occur in real-time, while analysis of classification precision vs. computing load may occur in non-real-time. If non-real-time analyses indicate that greater precision can be achieved, to better meet user needs within available computing resources, by adjusting thresholds or adding additional cited tests to the real-time test hierarchy for specific background landcover types, locations, or times of year, those revisions can be made for future real-time processing.

FIGURE 3 shows a first embodiment of a routine 300 for classifying data points as cloud points or non-cloud points. The routine 300 begins at a block 302, and a next point of top of atmosphere, visible, near-infrared, and short-wavelength infrared data is submitted for processing at a block 304. At a block 306, a comparison of the  $\rho_{CI}$  to a cirrus-band threshold cloud value is made. If  $\rho_{CI}$  exceeds the threshold value, the data point is classified as a cloud point at a block 308. If not, the routine 300 proceeds to a next block to make a further comparison of whether the data point represents a cloud point or a non-cloud point.

In one particular embodiment, the  $\rho_{CI}$  comparison at the block 306 is made at a wavelength of 1.88  $\mu$ m. At this wavelength, the reflectance has been determined to be more reliable than at slightly lower wavelengths. Of course, in alternate embodiments,  $\rho_{CI}$  may be tested at wavelengths other than 1.88  $\mu$ m, such as at 1.38  $\mu$ m or other cirrus bands.

If the comparison of  $\rho_{Cl}$  at the block 306 to make an initial determination of whether the data point was a cloud point did not result in the data point being classified as a cloud point at the block 308, additional comparisons can be made to further differentiate whether the data point is a cloud point or a non-cloud point. The comparisons and number of comparisons selected suitably are chosen to balance between computational simplicity and classification precision. Generally, as a greater number of comparisons are performed, greater precision is obtained. Nonetheless, selecting a fewer number of comparisons may result in a desirable degree of accuracy with fewer comparisons and/or calculations being made.

More specifically, if the comparison of the data point at the block 306 does not result in the data point being identified as a cloud point at the block 308, at a block 310 the NDSI is



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compared to an NDSI snow threshold value. This comparison may eliminate data points showing snow. If the data point NDSI is greater than the NDSI snow threshold value, the data point is a snow point. Again, the NDSI threshold value may be empirically determined using other information from which data points have previously been classified as cloud points or non-cloud points. If at the block 310 the NDSI exceeds the NDSI snow threshold value, the data point is classified as a non-cloud point at the block 312.

It will be appreciated how threshold values like the NDSI snow threshold value compared at the block 310 can affect classification precision. If, for example, the NDSI snow threshold is lowered, more data points may be classified as non-cloud ground points. If analysis reveals that this revision results in a net improvement in classification accuracy, application of further comparisons in the routine 300 may be avoided. Adjusting the thresholds in the tests described will determine how the individual data points in thinly cloud covered areas are classified. Accordingly, selection of thresholds based on empirical analysis of tested values for test data points known to be cloud points or non-cloud points over specific categories of landcover, location and season will incorporate a predetermined classification accuracy into embodiments of the present invention.

If the comparison of the data point at the block 310 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 314, a comparison of a ratio of the near infrared data to the short-wavelength infrared data, NIR/SWIR1, to a NIR/SWIR1 snow threshold value is made to potentially eliminate data points showing snow. If the NIR/SWIR1 value exceeds the NIR/SWIR1 snow threshold value, the data point is classified as a non-cloud point at the block 312.

If the comparison of the data point at the block 314 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 316 a comparison of a ratio of the NDSI value to an NDSI cloud threshold value is made to potentially eliminate data points showing bright ground. If the NDSI value is less than the NDSI cloud threshold value, the data point is classified as a non-cloud point at the block 312.

If the comparison of the data point at the block 316 does not result in the data point being identified as a non-cloud point at the block 312, at a block 318 a comparison of the D variable is made with a D variable cloud threshold to potentially eliminate data points showing vegetation. If the D variable is greater than the D variable cloud threshold, the data point is classified as a non-cloud point at the block 312.

If the comparison of the data point at the block 318 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 320 a comparison of a D spatial variability index, DSVI, is made with a DSVI cloud threshold to potentially



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eliminate data points showing non-smooth features. In one particular embodiment, the D spatial variability index may be given by:

$$DSVI = \left| D_m - D_c \right| \tag{4}$$

 $D_m$  is mean of D values for at least a three-by-three matrix of data points surrounding the data point and  $D_c$  is a central pixel in the three-by-three matrix of data points. If the DSVI is greater than the DSVI cloud threshold value, the data point is classified as a non-cloud point at the block 312.

It will be appreciated that calculation of the DSVI is a more computationally intensive step than other steps previously undertaken. The DSVI is derived from a plurality of D values which, in turn, are calculated from reflectance data of the data point. It will be appreciated that this step is not a first step in the routine 300 allowing for the possibility of faster, less-intensive methods associated with the foregoing blocks allowing for the data point to be classified as a cloud point 308 or a non-cloud point at the block 312. On the other hand, should additional computing power be available, the  $D_m$  portion of DSVI could be computed for a larger matrix of points such as a mean of a five-by-five or larger matrix, centered on  $D_c$ . Use of a larger matrix can increase the accuracy of the DSVI comparison by providing a statistically better  $D_m$  portion. If the comparison of the data point at the block 320 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 322 a comparison of the short-wavelength reflectance,  $\rho_{SWIR1}$ , is made to a short-wavelength reflectance cloud threshold to potentially eliminate data points showing dark features. If  $\rho_{SWIR1}$  is less than the short-wavelength reflectance cloud threshold, the data point is classified as a non-cloud point at the block 312.

If the comparison of the data point at the block 322 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 324 a comparison of  $\rho_{Red}$  to a red wavelength cloud threshold value is made to eliminate additional data points showing dark features. If  $\rho_{Red}$  is less than the red wavelength cloud threshold value, the data point is classified as a non-cloud point at the block 312.

If the comparison of the data point at the block 324 does not result in the data point being identified as a non-cloud point at the block 312, then at a block 326, a comparison of a ratio of the NIR/SWIR1 to a NIR/SWIR1 cloud threshold value is made to potentially eliminate additional data points showing bright ground. If the NIR/SWIR1 value is less than the NIR/SWIR1 cloud threshold value the data point is classified as a non-cloud point at the block 312. On the other hand, if the NIR/SWIR1 value is greater than the NIR/SWIR1 cloud threshold value, the data point is classified as a cloud point at the block 308.



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Once the data points have been classified as one of a cloud point at the block 308, or as a non-cloud point at the block 312, then at a block 328 it is determined if all data points of interest have been classified. If not, the routine 300 loops to the block 304 where the next data point is addressed. However, if it is determined at the block 328 that all the data points of interest have been analyzed, the routine 300 ends at the block 330.

As previously described, the routine 300 uses cloud thresholds empirically derived from manual or other studies of overhead imaging data. The threshold values may vary depending on the nature of the area of interest and the season during which the imaging data is captured. For example, threshold values for forests or closed shrub areas will vary between summer/tropical seasons and snowy seasons, just as the threshold values will vary between permanent wetlands and permanently snow-covered areas. For example, and not by way of limitation, Table 1 presented below lists representative threshold values that suitably may be used in the routine 300 for scenes and seasons of interest:

Scene	Season	D	NDSIsnow	NDSI <sub>cloud</sub>	DSVI	PSWIRI	NIR/SWIR1 <sub>snow</sub>	NIR/SWIR1 cloud	PRed	P <sub>Cloud</sub>
Forest/ Closed Shrub	Summer or Tropical	20	N/A	-0.25	1	0.1	N/A	1	0.1	0.03
eco;	Spring or Fall without snow	10	N/A	-0.35	3.5	0.1	N/A	1	0.1	0.03
ees>	Fall, Spring or Winter (snow)	2	0.55	-0.3	0.2	02	4	N/A	0.1	0.03
Grass or Crops (Mosaic)	Summer	20 (40)	N/A	-0.3	1	0.1	N/A	1	0.1	0.03
	Spring or Fall w/o snow	10	N/A	-0.35	1	0.1	N/A	0.9	0.1	0.03
	Fall, Spring or Winder w/snow	2	0.55	-0.35	0.2	0.2	4	1	0.2	0.03
Snow and Ice	All	2	0.55	-0.3	0.2	0.2	4	N/A	0.1	0.03
Barren or Sparse Open Shrub	(No Snow)	4	N/A	-0.25	1	0.1	N/A	0.8	0.2	0.03
Savanna	(No Snow)	10	N/A	-0.35	1	0.1	N/A	0.8	0.2	0.03

15 Table 1

> It will be appreciated that thresholds can be derived from study of other scenes and terrains, such as wetlands or water-covered areas as well.

> Various combinations of tests can be used to optimally balance desires for accuracy and computing efficiency. For example, accurate results are obtainable according to a subset



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of the routine 300 (FIGURE 3) where comparisons at the decision blocks 306, 316, 318, 320, and 326 and at least one of the comparisons at the decision blocks 310, 314, and 322. Table 2, on a next page, shows a computed accuracy for tests and combinations of tests run on a number of data sets.

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Scene#	MAS ID	Truth%	Cirrus%	Error = Mea	Error = Measured - Truth	ŧ											
-	97047 21	51.33	43.1735	1.8217	1.8217	4.3696	4.3696	1.1733	1.1733	4.1394	4.1394	1.2431	1.2431	3.8076	3.8076	1.1402	1.1402
2	97050 09	26.75		-3.0505	-3.0505	-4.0335	4.0335	4.6833	-4.6833	4.1657	-4.1657	-5.0715	-5.0715	4.4495	-4.4495	-5.0809	-5.0809
က	96110_26	61,36	60.1988	-1.1286	-1.1286	-0.7469	-0.7470	-1.1433	-1.1433	-0.8238	-0.8238	-1.1433	-1.1433	-0.8255	-0.8255	-1.1433	-1.1433
4	96114 10	55.95		-1.2736	-1.2736	-1.2696	-1.2696	-1.2736	-1.2736	-1.2696	-1.2696	-1.2736	-1.2736	-1.2696	-1,2696	-1.2736	-1.2736
2	95116_02	78.66		-0.1030	-0.1030	0.6430	0.6402	-0.1030	-0.1030	0.3881	0.3876	-0.1033	-0.1033	0.3881	0.3876	-0.1033	-0.1033
9	01100 01	34.05		-6.2901	-6.3132	-6.2899	-6.3129	-6.2901	-6.3132	-6.2899	-6.3129	-6.2901	-6.3132	-6.2899	-6.3129	-6.2901	-6.3132
7	01100 02	60.58		-7.2377	-7.2378	-7.2377	-7.2378	-7.2377	-7.2378	-7.2377	-7.2378	-7.2377	-7.2378	-7.2377	-7.2378	-7.2377	-7.2378
8	01100 03		30.2151	0.0958	0.0958	0.1899	0.1895	0.0958	0.0958	0.1899	0.1895	0.0958	0.0958	0.1899	0.1895	0.0958	0.0958
	01100 04			-0.0373	-0.0373	-0.0359	-0.0363	-0.0373	-0.0373	-0.0359	-0.0363	-0.0373	-0.0373	-0.0359	-0.0363	-0.0373	-0.0373
	01100 06		0.0000	-5.1183	-5.1187	4.9469	-4.9782	-5.1183	-5.1187	-4.9469	4.9782	-5.1183	-5.1187	4.9469	4.9782	-5.1183	-5.1187
11	01100 07	22.36	1.7270	1.0630	1.0607	1.9494	1.9105	1.0630	1.0607	1.9494	1.9105	1.0630	1.0607	1.9494	1.9105	1.0630	1.0607
12	01100 08	95.09	94.6252	-0.0941	-0.0941	-0.0924	-0.0936	-0.0941	-0.0941	-0.0924	-0.0936	-0.0941	-0.0941	-0.0924	-0.0936	-0.0941	-0.0941
13	01100 09	26.26		-6.2423	-6.2423	-5.7884	-5.7930	-6.2423	-6.2423	-5.7884	-5.7930	-6.2423	-6.2423	-5.7884	-5.7930	-6.2423	-6.2423
4	01100 10	17.97		-4.2455	4.2455	4.0007	4.0012	4.2455	4.2455	4.0007	4.0012	-4.2455	4.2455	4.0007	-4.0012	-4.2455	-4.2455
5	01110 03	2.01	0	-0.8531	-0.8532	-0.8256	-0.8333	-0.8531	-0.8532	-0.8256	-0.8333	-0.8531	-0.8532	-0.8256	-0.8333	-0.8531	-0.8532
91	01110_04	51.42	Ï	-1.9426	-1.9426	-1.7426	-1.7426	-1.9426	-1.9426	-1.7426	-1.7426	-1.9426	-1.9426	-1.7426	-1.7426	-1.9426	-1.9426
17	01110 05	84.58		3.2395	3.2395	3.2444	3.2415	3.2395	3,2395	3.2444	3.2415	3.2395	3.2395	3.2444	3.2415	3.2395	3.2395
81	01110 08	40.21	29.	-1.6000	-1,6001	-1.2898	-1.5814	-1.6000	-1.6001	-1.2898	-1.5814	-1.6000	-1.6001	-1.2898	-1.5814	-1.6000	-1.6001
18	01110_11	57.00		-8.1113	-8.1113	-7.7620	-7.7821	-8.1113	-8.1113	-7.7620	-7.7821	-8.1113	-8.1113	-7.7620	-7.7821	-8.1113	-8.1113
50	01110_12	30.91	24.0226	-6.2068	-6.2068	-6.1620	-6.1817	-6.2068	-6.2068	-6.1620	-6.1817	-6.2068	-6.2068	-6.1620	-6.1817	-6.2068	-6.2068
21	01110_13	48.18		-2.4732	-2.4732	-2.4102	-2.4473	-2.4732	-2.4732	-2.4102	-2.4473	-2.4732	-2.4732	-2.4102	-2.4473	-2.4732	-2.4732
22	01110_14	34.98	31.1644	-2.4859	-2.4859	-2.4699	-2.4703	-2.4859	-2.4859	-2.4699	-2.4703	-2.4859	-2.4859	-2.4699	-2.4703	-2.4859	-2.4859
23	01110 15	79.84	77.3877	0.7629	0.7627	0.9420	0.9417	0.7629	0.7627	0.9420	0.9417	0.7629	0.7627	0.9420	0.9417	0.7629	0.7627
24	01130 05	71.09		0.0370	0.0370	0.0370	0.0370	0.0370	0.0370	0.0370	0.0370	0.0370	0.0370	0.0370		0.0370	0.0370
	01130_07	62.95		2.4181	2.4177	2.5489	2.5476	2.4181	2.4177	2.5489	2.5476	2.4181	2.4177	2.5489	2.5476	2.4181	2.4177
	01130 09		0.0002	-0.4654	-0.4654	0.4605	0.2763	-0.4654	-0.4654	0.4605	0.2763	-0.4654	-0.4654	0.4605	0.2763	-0.4654	-0.4654
	99030 01	52.68	23.1581	-3.2878	-3.2878	-1.8294	-1.8294	-3.2878	-3.2878	-1.8294	-1.8294	-3.2878	-3.2878	-1.8294	-1.8294	-3.2878	-3.2878
28	95163 17	48.89	9.3589	-1.2679	-1.2679	-0.5307	-0.5307	-1.2679	-1.2679	-0.5307	-0.5307	-1.2679	-1.2679	-0.5307	-0.5307	-1.2679	-1.2679
29	00176 05	50.89		9.2824	4.9030	9.2826	4.9030	9.2824	4.9030	9.2826	4.9030	9.2824	4.9030	9.2826	_ [	9.2824	4.9030
30	00177_08	39.08	17.4549	2.2787	-1.6414	2.2878	-1.6414	2.2787	-1.6414	2.2878	-1.6414	2.2787	-1.6414	2.2878	-1.6414	2.2787	-1.6414
			3	0	0	0	0	0	0	-	-	-	-	-	-	-	-
			4	0	0	1	-	1	-	0	0	0	•	-	-	-	-
			5	1	1	-	1	1	-	-	-	-	-	-	-	-	-
		Test#	g	1	1	1	1	1	-	-	-	-	-	-	-	-	-
			7	1	1	1	-	1	-	-	-	-	-	-	-	-	-
			8	1	-	0	0	1	1	0	0	-	-	0	0	-	-
			6	0	1	0	1	0	-	0	-	٥	-	٥	-	۰	-
			10	1	1	1	1	1	1	-	-	-	-	-	-	-	-
			#tests	9	9	5	9	9	7	5	9	9	7	9	7	7	8
			mean err	-1.4172	-1.6947	-1.1170	-1.4162	-1.4937	-1.7713	-1.1401	-1.4393	-1.5044	-1.7819	-1,1607	-1.4599	-1.5081	-1.7856
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Test#	Test# [Description
-	Cirrus band TOA reflectance > 0.03; Always included in cloud %
2	NDSI test for shadowed snow, Does not impact cloud %; Not included in this analysis
3	NDSI test to eliminate snow
4	NIR/SWIR1 test to eliminate snow
9	NDSI test to eliminate bright ground
9	D test to eliminate veg
7	DSVI test to eliminate non-smooth features
80	SWIR1 band TOA reflectance test to eliminate dark features
6	Red band TOA reflectance test to eliminate dark features
0	NIR/SWIR1 test to eliminate bright ground

Table 2



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FIGURE 4 shows a second embodiment of a routine 400 for classifying data points as cloud points or non-cloud points. The routine 400 begins at a block 402, and a next point of top of atmosphere visible, near-infrared, and short-wavelength infrared data is submitted for processing at a block 404. At a block 406, a comparison of the  $\rho_{CI}$  to a cirrus-band threshold cloud value is made. If  $\rho_{CI}$  exceeds the cloud threshold value, the data point is classified as a cloud point at a block 408. If not, the routine 400 proceeds to a next block to make a further comparison of whether the data point represents a cloud point or a non-cloud point.

If the comparison of the data point at the block 406 does not result in the data point being identified as a cloud point at the block 408, then at a block 410 the NDSI is compared to an NDSI minimum threshold value and an NDSI maximum threshold value. The NDSI thresholds are set according to empirical analysis of NDSI data such as that shown in Unlike the routine shown in FIGURE 3 which uses single-value cutoff thresholds, at the block 410 the threshold defines an area of the graph 200 (FIGURE 2) as opposed to an intercept. More specifically, the comparison of NDSI at the block 410 is:

$$\begin{split} NDSI > & [(N_M \text{-} N_L)/D_T] \text{*}D \text{+} N_L \\ & AND \\ NDSI < & [(N_M \text{-} N_H)/D_T] \text{*}D \text{+} N_L \end{split}$$

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If either comparison is false, the data point is classified as a non-cloud point at a block 412. Values for these threshold calculation numbers are included in Table 2, below.

If the comparison of the data point at the block 410 does not result in the data point being identified as a non-cloud point at the block 412, at a block 414 a comparison of a ratio of NIR/SWIR1 to a NIR/SWIR1 snow threshold value is made. If the NIR/SWIR1 value is greater than the NIR/SWIR1 snow threshold value, the data point is classified as a non-cloud point at the block 412.

If the comparison of the data point at the block 414 does not result in the data point being identified as a non-cloud point at the block 412, then at a block 416 a comparison of a ratio of the NIR/SWIR1 value to an NIR/SWIR1 cloud threshold value is made. If the NIR/SWIR1 value is less than the NIR/SWIR1 cloud threshold value, the data point is classified as a non-cloud point at the block 412.

If the comparison of the data point at the block 416 does not result in the data point being identified as a non-cloud point at the block 412, then at a block 418 a comparison of  $\rho_{\rm Red}$  to a red wavelength cloud threshold value is made. If  $\rho_{\rm Red}$  is less than the red



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wavelength cloud threshold value, the data point is classified as a non-cloud point at the block 412.

If the comparison of the data point at the block 418 does not result in the data point being identified as a non-cloud point at the block 412, then at a block 420 a comparison of the short-wavelength reflectance,  $\rho_{\text{SWIR1}}$ , is made to a short-wavelength reflectance cloud threshold. If  $\rho_{\text{SWIR1}}$  is less than the short-wavelength reflectance cloud threshold, the data point is classified as a non-cloud point at the block 412.

If the comparison of the data point at the block 420 does not result in the data point being identified as a non-cloud point at the block 412, then at a block 422 a comparison of the DSVI is made with a DSVI cloud threshold. If the DSVI exceeds the DSVI cloud threshold, the data point is classified as a non-cloud point at the block 412. On the other hand, if the DSVI is less than the DSVI cloud threshold, the data point is classified as a cloud point at the block 408.

Once the data points have been classified as one of a cloud point at the block 408 or as a non-cloud point at the block 412, then at a block 424 it is determined if all data points of interest have been classified. If not, the routine 400 loops to the block 404 where the next data point is addressed. However, if it is determined at the block 424 that all the data points of interest have been analyzed, the routine 400 ends at the block 426.

As previously described, the routine 400 uses threshold calculations empirically derived from manual or other studies of overhead imaging data. The threshold values may vary depending on the nature of the area of interest and the season during which the imaging data is captured. For example, threshold values for forests or closed shrub areas will vary between summer/tropical seasons and snowy seasons, just as the threshold values will vary between permanent wetlands and permanently snow-covered areas. Again, for example and not by way of limitation, Table 3 below lists representative threshold values that suitably may be used in the routine 400:

Scene	T(CI)cloud	N <sub>L</sub>	N <sub>M</sub>	N <sub>H</sub>	D <sub>T</sub>	T(NIR/SWIR1)snow	T(NIR/SWIR1)cloud	$\rho_{\mathrm{Red}}$	SWIR1	DSVI
Forest – summer mid- latitude	0.03	-0.2	-0.15	1	20	N/A	0.8	0.1	0.1	1
Forest - tropical	0.03	-0.5	-0.3	1	20	N/A	0.8	0.1	0.1	1
Crops or Mosaic - Summer	0.03	-0.3	-0.2	1	40	N/A	0.8	0.1	0.1	1
Grass - Summer	0.03	-0.3	-0.2	1	20	N/A	0.8	0.1	0.1	1
Crops or Mosaic - Spring	0.03	-0.3	-0.2	1	10	N/A	0.8	0.1	0.1	1



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Crops or	0.03	-0.5	-0.3	0.6	5	4	0.8	0.1	0.1	1
Mosaic -										
Snow										
Barren	0.03	-0.3	-0.2	1	3	N/A	0.8	0.1	0.1	1
Savanna	0.03	-0.3	-0.2	1	10	N/A	0.8	0.1	0.1	1
or Open										
Shrub										

Table 3

It will be appreciated that the routine 400 (FIGURE 4) simplifies the selection of threshold values.

FIGURE 5 shows a system 500 according to an embodiment of the present invention. Imaging data 510, including imaging data from at least one data point, is received. Threshold data 520, such as the parameters previously described in connection with FIGURES 3 and 4 and Tables 1 and 2, is supplied to the system for comparison. A cirrus band comparator 530 makes a first comparison of the data point with a cirrus band threshold. As previously described, if the cirrus band reflectance of the data point exceeds the cirrus band threshold, the data point is classified as a cloud point in cloud mask data 550 and/or a cloud mask 560. On the other hand, if use of the cirrus band comparator 530 does not result in classification of the data point, a secondary comparator 540 is applied to classify the data point. Using routines previously described in connection with FIGURES 3 and 4, the secondary comparator 540 uses additional cloud indicators and cloud indicator thresholds to classify the data points. When the secondary comparator 540 classifies the data point as either a cloud point or a non-cloud point, the data point is appropriately classified in the cloud mask data 550 and/or the cloud mask 560 in accordance with the predetermined classification precision determined by the threshold levels established for the comparisons being made. The system 500 suitably is applied to all data points in the imaging data 510 to generate cloud mask data 550 and/or a cloud mask 560 for the imaging data 510.

It will be appreciated that, in one embodiment of the invention, the determination as to acceptability of accuracy provided by a given set of tests and thresholds would be determined by processing a representative set of imagery off-line, and by evaluating resultant cloud masks in comparison with "truth" cloud masks produced by expert analysis. Adjustments would be iterated and evaluated for optimization, and production test hierarchy and thresholds would then be adjusted for subsequent on-line production runs.

While alternate and preferred embodiments of the invention have been illustrated and described, as noted above, many changes can be made without departing from the spirit and scope of the invention. Accordingly, the scope of the invention is not limited by the



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disclosure of the preferred embodiment. Instead, the invention should be determined entirely by reference to the claims that follow.



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